Effect of Interactions Between Lower Airway Bacterial and Rhinoviral Infection in Exacerbations of COPD*

Tom M. A. Wilkinson, MRCP; John R. Hurst, MRCP; Wayomi R. Perera, MRCP; Mark Wilks, PhD; Gavin C. Donaldson, PhD; and Jadwiga A. Wedzicha, MD

Study objectives: The inflammatory responses and associated clinical severity of COPD exacerbations are greatly variable, and the determinants of these factors are poorly understood. We examined the hypothesis that bacteria and viruses may modulate this heterogeneity and that interactions between bacterial and viral infection may affect changes in airway bacterial load and the clinical features and inflammatory responses of exacerbations in patients with COPD.

Design: Prospective cohort study.

Setting: Outpatient Department, London Chest Hospital, London, UK.

Patients: Thirty-nine patients with COPD.

Measurements: We prospectively studied 56 COPD exacerbations, obtaining clinical data and paired sputum and serum samples at baseline and exacerbation. Qualitative and quantitative microbiology, polymerase chain reaction detection for rhinovirus, and estimation of cytokine levels by enzyme-linked immunosorbent assay were performed.

Results: A total of 69.6% of exacerbations were associated with a bacterial pathogen, most commonly *Haemophilus influenzae*. Rhinovirus was identified in 19.6% of exacerbations. The rise in bacterial load at exacerbation correlated with the rise in sputum interleukin (IL)-8 ($r = 0.37$, $p = 0.022$) and fall in FEV$_1$ ($r = 0.35$, $p = 0.048$). Exacerbations with both rhinovirus and *H influenzae* had higher bacterial loads (10$^{8.56}$ cfu/mL vs 10$^{8.05}$ cfu/mL, $p = 0.018$) and serum IL-6 (13.75 pg/mL vs 6.29 pg/mL, $p = 0.028$) than exacerbations without both pathogens. In exacerbations with both cold symptoms (a marker of putative viral infection) and a bacterial pathogen, the FEV$_1$ fall was greater (20.3% vs 3.6%, $p = 0.026$) and symptom count was higher ($p = 0.019$) than those with a bacterial pathogen alone.

Conclusions: The clinical severity and inflammatory responses in COPD exacerbations are modulated by the nature of the infecting organism: bacterial and viral pathogens interact to cause additional rises in inflammatory markers and greater exacerbation severity.

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Key words: bacteria; COPD; exacerbations; viruses

Abbreviations: CI = confidence interval; HRV = human rhinovirus; IL = interleukin; IQR = interquartile range; PCR = polymerase chain reaction; PPM = potentially pathogenic microorganism

Exacerbations of COPD are characterized by increased airway$^1,2$ and systemic inflammation.$^3$ However, there is marked variability in the nature of the inflammatory response at exacerbation and thus the symptoms, clinical severity, and time course of these events.$^4$ Individual factors such as respiratory viruses$^5–7$ and particular bacterial pathogens$^8$ are associated with indexes of more severe exacerbations. However, interactions between individual

*From the Academic Unit of Respiratory Medicine, St. Bartholomew’s and the Royal London School of Medicine, St. Bartholomew’s Hospital, London, UK. Funding was provided by the Joint Research Board, St. Bartholomew’s Hospital Special Trustees, The British Lung Foundation. Manuscript received January 31, 2005; revision accepted June 2, 2005.

Reproduction of this article is prohibited without written permission from the American College of Chest Physicians (www.chestjournal.org/misc/reprints.shtml). Correspondence to: Jadwiga A. Wedzicha, MD, Academic Unit of Respiratory Medicine, University College, London, NW3 2PF, UK, e-mail: j.a.wedzicha@medsch.ucl.ac.uk
pathogens and the mechanisms that underlie the heterogeneity of exacerbations are poorly understood. Bacterial pathogens are commonly identified in the lower airway of COPD patients in the stable state. Airway inflammation is directly related to the number of bacteria in the lower airway in stable COPD, and greater airway bacterial load is itself a stimulus to faster disease progression. While it is known that airway bacterial load rises at exacerbation, it is not known to what extent these rises modulate changes in airway inflammation and exacerbation severity, or what factors determine changes in bacterial load.

Respiratory viruses have been implicated as important infective triggers of exacerbations, with human rhinovirus (HRV) being the most commonly identified viral pathogen. Virus-associated exacerbations are longer and thus more severe than nonviral exacerbations, but whether this is due to the direct effects of viral infection on the airway or a mechanism involving changes in lower airway bacteria is not known. We examined the hypothesis that the heterogeneity of inflammatory, symptomatic, and physiologic responses at COPD exacerbation is modulated by airway bacterial and viral infection and that a combination of these pathogens would result in greater airway and systemic inflammation and hence clinical and physiologic indexes of exacerbation severity.

**Materials and Methods**

**Patient Selection**

Patients with COPD were recruited from the outpatient department of the London Chest Hospital into the East London COPD cohort. The inclusion criteria for this study have previously been published and include a postbronchodilator FEV₁ < 70% of predicted for age and height, β₂-agonist reversibility < 15% of baseline and/or < 200 mL, and a FEV₁/FVC ratio < 70%. Patients were assessed clinically and with chest radiography at recruitment to ensure the absence of other significant respiratory disease. Patients were observed for this study from April 2001 to July 2002. Ethics approval for the study was obtained from the East London and City Health Authority’s Research Ethics committee; all patients gave written informed consent. This patient cohort has been the subject of previous articles on various aspects of COPD exacerbation.

**Diary Card Monitoring and Follow-up**

At recruitment, patients were taught how to record on diary cards each morning postbronchodilator peak expiratory flow (Mini-Wright; Clement Clark International; Harlow, UK). Patients recorded a change in their symptoms using a letter-annotated system. When well or stable, the patients were instructed not to record any of the symptom letters in the diary. However, when they perceived an increase over their normal, stable condition in symptoms (major and minor, see below), they noted the corresponding symptom letter on their diary card. Therefore, the patients recorded symptom letters if a symptom was perceived as worse, eg, dyspnea, or of new onset, eg, a sore throat (as the latter is not usually present).

**Stable State**

Patients were reviewed at recruitment and with their diary cards every 3 months in the study clinic to monitor compliance with data collection and to record changes in medication and baseline lung function. A review of diary cards was utilized to ensure that stable sampling was performed when subjects had been clear of exacerbation symptoms and had completed any exacerbation treatment for at least 6 weeks.

**Exacerbations**

Patients were encouraged to report symptom changes to the study team; they were assessed within 24 to 48 h in the study clinic by a respiratory physician prior to initiation of therapy for the exacerbation. The diagnosis of an exacerbation was based on symptomatic criteria previously validated by our group. An exacerbation was defined as the presence for at least 2 consecutive days of increase in any two major symptoms (dyspnea, sputum purulence, sputum amount) or increase in one major and one minor symptom (wheeze, sore throat, cough, symptoms of a common cold). Exacerbation symptoms were binary coded as present or absent, and the sum of these at exacerbation onset was termed the symptom count, which has been validated as a marker of clinical severity. Lung function measurement and sputum and blood sampling were performed on patients prior to the initiation of exacerbation treatment.

**Measurement of Lung function**

Lung function was measured with a rolling seal spirometer (SensorMedics; Yorba Linda, CA). Lung function measurements were obtained between 9:30 AM and 11:30 AM, 1 h after the patient’s usual bronchodilator medication. At least three spirometry readings were obtained at each visit, and the best performance was recorded.

**Sputum and Blood Sampling**

Sputum was sampled if the subject met criteria for the stable state at the 3-month review and also at presentation of exacerbation. Immediately following lung function measurement, the patients were asked to spontaneously expectorate sputum into a sterile container. Patients unable to produce a sample of sputum spontaneously underwent sputum induction. Once a sample was obtained, sputum plugs were separated from saliva using sterile forceps, and one third of the sputum was frozen at – 80°C for subsequent RNA extraction and polymerase chain reaction (PCR). The remainder was analyzed for inflammatory cyto-
Sputum interleukin (IL)-6 and IL-8 levels were measured using an enzyme-linked immunosorbent assay (R&D Systems; Abingdon, UK). Contemporaneous blood samples were obtained, centrifuged at 4°C, and serum decanted and stored at −80°C for subsequent analysis of IL-6 levels using an enzyme-linked immunosorbent assay (R&D Systems).

**Quantitative Bacterial Analysis**

Samples were processed homogenized. Tenfold serial dilutions of the homogenized sample were made in brain heart infusion broth, and 100-μL aliquots were plated out onto the surface of a range of different media, including blood agar, chocolate agar, MacConkey agar, and cysteine lactose electrolyte-deficient agar. These were incubated for 18 to 36 h at 37°C in an atmosphere of air + 5% carbon dioxide. After incubation, bacterial colonies were counted and subcultured for identification by standard methods. *Haemophilus influenzae* and *Haemophilus parainfluenzae* were identified and differentiated by their growth patterns on peptone agar on which discs containing nicotinamide adenine dinucleotide or haemin were placed (Oxoid Unipath; Basingstoke, UK). The number of colony forming units per milliliter of sputum was calculated from the number of colonies obtained and the dilution of the sputum. Bacteriology data are expressed as the total bacterial count in log base 10 U. Potentially pathogenic microorganisms (PPMs) are bacteria known to be common pathogens of the respiratory tract in subjects with COPD (*H influenzae*, *Streptococcus pneumoniae*, *H influenzae*, *Haemophilus parainfluenzae*, *Moraxella catarrhalis*, *Staphylococcus aureus*, Pseudomonas aeruginosa, and other Gram-negative enteric bacteria).

**RNA Extraction, Reverse Transcription, and Picornavirus PCR**

RNA extraction from the sputum was performed using a standard extraction kit (Qiagen; Southampton, UK). Reverse transcription was performed using random hexamers, and picornavirus PCR was performed as previously described. This PCR technique has been validated in the detection of rhinovirus in these samples using confirmatory nucleic acid sequencing.

**Statistical Analysis**

Normally distributed data are reported by means and SDs and skewed data are presented as medians and interquartile range (IQR). Correlations were assessed using the Pearson or Spearman correlation coefficient (two tailed), as appropriate. Continuous variables with normal distributions were compared by the Mann-Whitney U or Wilcoxon signed-ranks test. Changes in parameters from the stable state to exacerbation were assessed using a paired analysis of the stable sample data taken preceding the exacerbation studied; p values ≤ 0.05 were regarded as significant. In the analysis of changes in parameters between stable state and exacerbation, the prior stable sampling point closest to the subjects corresponding exacerbation was used creating a data set of paired baseline and exacerbation samples for each exacerbation. The analysis of group data was initially adjusted for repeated measures by selecting the first exacerbation sampled per patient (n = 39) to assess the changes in measured indexes from baseline to exacerbation. These observed changes were comparable to the larger data set of 56 exacerbations (in 39 patients), which was therefore used to compare individual exacerbation characteristics and etiologies, as in previous studies. Multivariate analysis was performed using a multiple linear regression analysis. Data analysis was performed using statistical software (SPSS version 10.0; SPSS; Chicago, IL).

**Results**

**Patient Characteristics**

Table 1 shows the baseline characteristics of the 39 patients in the East London COPD Cohort sampled during the study. Fifty-six paired stable and exacerbation samples were obtained from 39 patients for this analysis. Of these 39 patients, 15 were receiving long-term oxygen therapy, all patients were receiving long-term inhaled corticosteroids (median, 500 μg/d; IQR, 400 to 1,500 μg/d of beclomethasone equivalents), no patients were receiving long-term oral corticosteroids, and all patients received regular inhaled bronchodilators. The remainder of the patients did not have an exacerbation during the sampling period (n = 26), did not report an exacerbation to the study team, received antibiotic treatment before sampling, or were unable to provide an adequate sputum sample (n = 14). The sampled patients did not differ significantly in terms of baseline characteristics from those who were not sampled (Table 1).

**Changes in Lung Function and Inflammatory Markers at Exacerbation**

Table 2 shows the stable and exacerbation FEV₁, airway bacterial load, sputum IL-6 and IL-8, and blood IL-6 levels for all the 56 sampled exacerbations and on a per-patient basis (n = 39). In both analyses, the mean FEV₁ fell at exacerbation and the mean airway bacterial load rose significantly. Exacerbations were associated with increased airway inflammation in terms of sputum IL-8. The rises in levels of sputum and serum IL-6 did not reach statistical significance.

**Airway Bacteriology**

Airway bacterial load rose in all samples (n = 56) from $10^{7.50 (0.74)}$ log cfu/mL in the stable state to $10^{8.09 (0.76)}$ log cfu/mL at exacerbation, and also rose significantly in data adjusted for repeated measures.
(n = 39) [10^{7.47 (0.73)} to 10^{8.16 (0.76)}; p = 0.001]. The prevalence of PPMs rose from 48.2% at baseline to 69.6% at exacerbation (n = 56), with the remainder of samples demonstrating nonspecific bacterial growth. The most frequently isolated organism was *H influenzae* in 14.3% of stable and 37.5% of exacerbation samples, with *S pneumoniae* in 8.9% and 14.3%, *M catarrhalis* in 7.1% and 14.3%, *H parainfluenzae* in 10.7% and 0%, *S aureus* in 3.6% and 0%, *P aeruginosa* in 1.8% and 1.8%, and Gram-negative enteric bacteria in 1.8% and 1.8%, respectively; the remainder demonstrated nonspecific bacterial growth.

**Relationships Between Bacterial Load, Airway Inflammation, and Lung Function Changes at Exacerbation**

Changes in airway bacterial load (n = 39) were related to exacerbation severity in terms of changes in lung function and airway inflammation. The rise in airway bacterial load from baseline to exacerbation was related to the percentage fall in FEV_{1} (r = 0.35, p = 0.048). The magnitude of the rise in airway IL-8 at exacerbation was related to the rise in airway bacterial load (p = 0.37, p = 0.022).

The changes in airway and serum IL-6 observed from stable state to exacerbation were not related to changes in bacterial load (p = 0.76, p = 0.649 and p = 0.144, p = 0.482, respectively). However, the rise in systemic inflammation was related to that of airway inflammation; the change in sputum IL-6 correlated with the change in serum IL-6 (p = 0.435, p = 0.023, n = 39).

Changes in airway and systemic markers of inflammation at exacerbation were modulated by existing disease severity. The observed change in sputum IL-8 at exacerbation per patient was inversely related to the baseline FEV_{1} (percentage of predicted) [p = −0.298, p = 0.05], as was the change in sputum IL-6 (p = −0.358, p = 0.02) and the change in serum IL-6 (p = −0.392, p = 0.03). Thus, patients with more severe COPD exhibited greater rises in inflammation at exacerbation compared with those with more mild disease.

**Effects of Individual Pathogens and Their Interactions**

*H influenzae*: *H influenzae*-related exacerbations were associated with higher airway bacterial load (n = 56; 10^{8.52 (0.30)} log cfu/mL) compared to cases in which it was not isolated (10^{7.85 (0.81)} log cfu/mL; p = 0.001). There was a trend toward more severe drops in FEV_{1} (expressed as a percentage of baseline): −11.91% (SD, 15.32%) with *H influenzae* present, vs −1.20 without *H influenzae* (SD, 15.09%) [p = 0.057]. Where *de novo H influenzae* infection occurred (ie, *H influenzae* present at exacerbation but not present in stable sample), there was again a greater exacerbation bacterial load (10^{8.56 (0.40)} log cfu/mL) compared to when *H influenzae* was not isolated (10^{7.81 (0.84)} log cfu/mL; p = 0.001), and the percentage fall in FEV_{1} was significantly worse in this group (−14.60% [SD, 12.39%]) compared to the non-*H influenzae* exacerbations (−1.17% [SD, 15.99%]) [p = 0.027].

**HRV and Colds**: HRV PCR findings were positive in 11 of 56 exacerbations (19.6%); cold symptoms, a measure of putative viral infections, were present in 6 of 18 cases (32.1%). The presence of cold symptoms and HRV-positive PCR sputum were related (continuity adjusted χ², 4.11; p = 0.04). Exacerbations associated with colds were associated with a greater percentage fall in FEV_{1} (−14.03%; SD,
13.91%) than those without colds (-3.01%; SD, 16.38%) [p = 0.043].

**Effect of Viral and Bacterial Infections on All Sampled Exacerbations**: The observed FEV₁ fall associated with colds at exacerbation was more marked in the presence of a lower airway bacterial pathogen: -20.3% (SD, 14.81%) with both colds and a bacterial pathogen, compared to -3.63% (SD, 5.57%) with a cold alone (p = 0.026) or -3.13% (SD, 14.88%) with a bacterial pathogen alone (p = 0.001) [Fig 1]. The specific effect of the interaction between colds and bacterial pathogens was assessed with a multivariate regression analysis with the percentage of FEV₁ fall at exacerbation as the dependent variable; the effect of the interaction was additional to the independent effects of each individual factor (95% confidence interval [CI], -13.13 to -2.09; p = 0.009).

Similarly, exacerbation symptoms were more severe (higher symptom count at exacerbation onset) in those exacerbations associated with a PPM in the presence of cold symptoms (4.0; IQR, 3.0 to 4.5) compared to those with a PPM alone (3.0; IQR, 2.0 to 3.0) [p = 0.019] or with neither a PPM nor cold symptoms (3.0; IQR, 2.0 to 3.0) [p = 0.029; Fig 2].

Exacerbations associated with both *H. influenzae* and HRV exhibited a greater bacterial load ($10^{8.56 \pm 0.31}$ log cfu/mL vs $10^{8.05 \pm 0.77}$ log cfu/mL, p = 0.018) and serum IL-6 (13.75 pg/mL; IQR, 10.53 to 16.91 pg/mL; vs 6.29 pg/mL; IQR, 3.31 to 9.75 pg/mL, p = 0.028) than those without both pathogens. The exacerbation of airway bacterial load associated with *H. influenzae* and HRV compared to other PPMs is illustrated in Figure 3.

**Discussion**

The results of this study show for the first time a synergistic effect of viral and bacterial infections in
modulating the severity of symptoms, lung function changes, and inflammation at exacerbations of COPD. The findings demonstrate that changes in lower airway bacterial load are associated with the variability in inflammation and lung function seen at exacerbation in patients with moderate-to-severe COPD, effects that were more pronounced in proven rhinoviral and putative viral infections. These data also suggest that pathogens associated with more severe exacerbations, such as H influenzae, may act at least in part via a greater stimulus to inflammation, associated with higher airway bacterial loads. Patients with more severe disease in this study demonstrated greater rises in airway and systemic inflammation than those with milder disease. This suggests that the heterogeneous nature of exacerbation severity is dependent not only on the nature of infective triggers but also on the baseline severity of disease.

This study has been performed using the well-validated technique of daily diary card symptom recording and analysis to confirm both the diagnosis of exacerbations and also the stable state.2–6,11,13,14 The study design has allowed us to sample the same patients in both clinical states and to describe not only cross-sectional analyses at exacerbation but also changes from baseline, and furthermore how these changes in exacerbation parameters were modulated by the corresponding infectious agents.

We have found that that the severity of the fall in lung function and the rise in inflammation seen at exacerbation are related to the extent of the rise in airway bacterial load. A relationship between airway inflammation and airway bacterial load has previously been described in the stable state,1,16–22 with higher loads associated with greater falls in FEV1 over a 1-year study.11 A number of previous studies8,18,19 have identified that bacterial pathogens are commonly found in the lower airway at exacerbation with higher loads than in the stable state.9 However, the effect of rising numbers of bacteria on the nature of exacerbations has not been investigated. These findings suggest that changes in bacterial load may play a role in the heightened levels of airway inflammation characteristic of exacerbations. However, evidence for an association between changes in bacterial load and indexes of exacerbation severity does not prove causality; it is possible that changes in airway bacterial load may simply be a secondary phenomenon to other causes of inflammation. Indeed, the findings of this study show that the key changes in symptoms and lung function at exacerbation were observed when the synergistic effects of viral and bacterial infection were found. In vitro and intervention studies are required to differentiate the exact contribution of a particular pathogen or pathogens to the inflammatory and pathophysiologic changes at exacerbation.

H influenzae was found in this study, as in previous studies, to be the most important bacterial pathogen identified both in terms of prevalence in the stable state and at exacerbation, and in determining the airway bacterial load. H influenzae, unlike a number of other bacterial pathogens, may colonize not only the airway but the respiratory epithelium itself. H influenzae colonization has been shown to be a greater stimulus to airway inflammation than other commonly isolated pathogens.8,23 This is in agreement with the findings of our study that demonstrate that H influenzae was present in greater numbers than the other PPMs identified, and that its presence at exacerbation was associated with more severe drops in FEV1. The role of less prevalent bacterial pathogens at exacerbation, in particular, their interactions with respiratory viruses requires further study.

The stimulus of newly acquired H influenzae at exacerbation provided a greater deleterious effect on FEV1 than H influenzae-associated exacerbations in patients already having colonization with this pathogen. These findings compliment previous work19 of the role that strain changes of particular bacterial species play in the etiology of exacerbations. This has identified the role that a new antigenic stimulus to the airway immune system plays in the pathogenesis of an exacerbation. It is feasible that acquisition of a new bacterial strain or type may not only provide a direct antigenic stimulus but also overcome the established host/pathogen balance allowing bacterial proliferation, and thus a further inflammatory stimulus due to greater bacterial numbers. To date, studies to determine the possible interactions between viral infection and bacterial strain changes have not been performed; these may provide information on the complex mechanisms that result in triggering exacerbations.

Respiratory viral infection is an important trigger to the airway immune system. In our cohort of influenza-vaccinated patients, we have previously demonstrated that HRV is the most commonly isolated virus at exacerbation.5 Rhinovirus can be isolated from lower airway samples8 and is associated with greater levels of inflammation than nonviral infections.6 Similarly, we have shown that colds, a marker of putative viral infections,6 are associated with more severe exacerbations. In this study, systemic inflammation (serum IL-6), exacerbation symptoms, and lung function changes were all more severe when evidence for both bacterial and viral infection was present. It is possible that this effect may have been due to the separate additional inflam-
matory stimuli of two separate pathogens in the airway; however, this explanation is not supported by the multivariate analysis that indicated a synergistic effect on lung function in addition to the individual effects of each pathogen type. Furthermore, these exacerbations were associated with higher bacterial loads than when both pathogens were not present, which may suggest a synergistic interactive effect of viral infection which allows greater proliferation of airway bacteria. Viral infection therefore may impact on exacerbation severity indirectly by increasing bacterial load in addition to the direct effects of viral infection itself, e.g., heightened inflammation or airway hyperresponsiveness, independent of other pathogens. While HRV is the most common virus identified at exacerbation and hence the target of investigation in this study, a number of other respiratory viruses have been identified in the airway during these events, for example coronavirus. The role of these other viral pathogens and atypical bacteria at exacerbation remains uncertain and requires investigation.

The mechanisms by which viral infection may facilitate airway bacterial growth are likely to be complex. However, any disruption of the innate defenses of the respiratory epithelium in a lower airway colonized with bacteria may unsettle a fine balance between host immunity and bacterial numbers. Rhinoviral infection is known to increase mucous production and neutrophilic inflammation. Direct evidence that rhinoviral infection increases susceptibility to bacterial adherence to airway epithelial cells, a key process in bacterial infection, is available from in vitro studies. Indeed, the key cell surface binding site for HRV infection, intracellular adhesion molecule-1, is itself up-regulated by HRV infection and by bacterial colonization; this increase may play a key role in neutrophil elastase-mediated inflammation. Hence, by a number of mechanisms, viral infection may alter the immune environment that may allow either proliferation of colonizing airway bacteria or a new pathogen to infect the lower airway.

This study was performed in patients with moderate-to-severe COPD. The role of bacterial infection and therefore potential bacterial-viral interaction is likely to vary with disease severity and therefore prevalence of bacterial colonization. Indeed, we have shown that the degree of airway and systemic inflammatory response at exacerbation was related to baseline disease severity. This suggests that the severity of inflammatory response may progress with disease severity, which is in agreement with the findings of a longitudinal analysis of exacerbations. Further studies are required to determine if these findings can be extrapolated to COPD patients with milder disease. Indeed, the observed heterogeneity of exacerbations is likely to be further modulated by the relative frequency of particular pathogens and hence may show seasonality; this may explain differences in associated cytokine responses found in studies of comparable sample size. Similarly, differences in the technique of sampling, spontaneous or induced sputum, may affect the observed results. However, we have previously demonstrated the two techniques are comparable in assessing lower airway inflammation. Therapy must also be considered important when considering factors modulating inflammatory responses. The patients sampled for this study were all receiving inhaled steroids both at baseline and when sampled at exacerbation. It is possible that the inflammatory responses observed at exacerbation were modified by effects of this treatment. A statistical analysis of this effect was not feasible due to the ubiquity of inhaled steroid use in this patient group. Therefore, the modulating influences on the nature of exacerbations are numerous. It is probable that any individual factor plays a contributing rather than a definitive role in determining the nature and severity of a particular exacerbation, and furthermore that potential interactions between these factors further modulate the characteristics of these events.

The findings of this study suggest that changes in airway bacterial load, the nature of the individual infective pathogens, and interactions between multiple pathogens and the airway modulate exacerbation severity. Further studies are required to improve understanding of the pathogen/host interactions at exacerbation and indeed also in the stable state. Manipulation of this complex relationship with appropriate anti-infective and anti-inflammatory therapies may benefit COPD patients by reducing both exacerbation severity and slowing progression of this highly prevalent disease.

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